



## **LIST named Most Innovative Third-Party Technology Vendor (Trading, Risk & Compliance) at the American Financial Technology Awards 2021**

**LONDON** – 3 December 2021

**The American Financial Technology International Award 2021** reinforces **LIST** position as leading technology vendor for Trading, Risk and Compliance. With the award-winning products **LookOut** and **JANUS**, LIST provides comprehensive solutions for compliance, trade and market surveillance, regulatory reporting, and business analysis, support financial institutions in monitoring and controlling risk in real-time and across multiple assets and markets.

**LookOut** is LIST's multi-asset solution for market surveillance, record keeping, regulatory reporting and business analytics. It is specifically designed as a robust, reliable yet flexible and fast data collection, storage, retrieval and analysis system for buy-side, sell-side firms and trading venues.

**LookOut** achieves this through:

- efficient and scalable processing of large amounts of data (in compliance with MiFID II requirements), leveraging highly scalable architecture and technology
- ability to ingest data in real time, generating reports and alerts immediately
- complete lifecycle information for order, quote and RFQ for B2C markets
- web-based interface, with monitoring dashboards and drill down functionalities
- feature to easily download data to excel
- a powerful business analytics tool

**JANUS** is a suite of advanced products designed to support financial institutions in monitoring and controlling risk in real-time and across multiple assets and markets.

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**JANUS** is recognised as a market leader in the risk management space, being the first true 'intraday consolidated dashboard' for risk managers:

- aggregating trade and order flows across back-offices, trading platforms and clearing systems
- monitoring user defined risk measures
- generating alerts on limit breaches
- storing risk indicators and limit breaches to support client behaviour analysis
- replicating margins as calculated by CCPs across 60+ exchanges globally
- managing trading platforms pre-trade limits approvals and maintenance

### **About American Financial Technology Awards**

Hosted by WatersTechnology, the AFTAs recognize excellence in the deployment and management of financial technology within the asset management and investment banking communities. The AFTAs consist of two sections: End-User categories and Vendor categories. Only end-user firms — banks, brokers, asset manager and hedge funds — may enter the end-user categories. Only third-party technology providers may enter the vendor categories.

### **About LIST**

LIST is a leading financial technology provider to the global trading community, including trading venues, market makers, brokers, asset managers, risk managers and compliance officers. LIST's innovative turnkey products and delivery, driven by customers' needs, together with a skilled and determined young team play a key role in supporting successful business growth in today's volatile times. Continuous innovation and client partnerships around the globe have built trust and reliance on LIST's unique real-time and data analytics capabilities.

For further information, contact:

**LIST Marketing Office**

[marketing.office@list-group.com](mailto:marketing.office@list-group.com)